

07-09-01

PTO/SB/05 MODIFIED BY AT&amp;T CORP.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**  
(Or file nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

2000-0114

Total Pages

48

First Named Inventor or Application Identifier

Joseph P. Marx et al.

Express Mail Label No.

EL809218435US

J1045 U.S. PRO 09/06/01

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
*(submit an original, and a duplicate for fee processing)*
2.  Specification [Total Pages 31]  
*(preferred arrangement set forth below)*
  - Descriptive title of invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings(if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s)(35 USC 113) [Total Sheets 9]
4. Oath or Declaration [Total Pages 3]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application(37 CFR 1.63(d))  
*(for continuation/divisional with Box 17 completed)*  
*[Note Box 5 below]*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
163(d)(2) and 1.33(b)
5.  Incorporation by reference<sup>(useable if Box 4b is checked)</sup>  
The entire disclosure of the prior application, from which a copy of the oath  
or declaration is supplied under Box 4b, is considered as being part of the  
disclosure of the accompanying application and is hereby incorporated by  
reference herein.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

 Continuation  Divisional  Continuation-in-part (CIP) of prior Application No:**18. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or  Correspondence address below

NAME	Samuel H. Dworetzky				
ADDRESS	AT&T CORP. P.O. Box 4110				
CITY	Middletown	STATE	New Jersey	ZIP CODE	07748-4110
COUNTRY	United States of America		FAX	732-368-6932	

**19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

NAME	Thomas M. Isaacson	Reg. #	44166
TELEPHONE	410-257-5485		
SIGNATURE		DATE	July 6, 2001

"Express Mail" Mailing Label Number EL809218435US

Date of Deposit 07/06/2001

I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington D.C., 20231

Nicole Barrett

(Printed Name of Person Mailing Paper)

(Signature of Person Mailing Paper)

<b>FEE TRANSMITTAL</b>		Complete own	
<p>Patent Fees are subject to annual revision on October 1. These are the fees effective October 1, 1997 Small Entity payments must be supported by a small entity Statement, otherwise, large entity fees must be paid. See Forms PTO/SB/09-12.</p>			
<b>TOTAL AMOUNT OF PAYMENT</b>	\$1230.00	Application Number	
		Filing Date	
		First Named Inventor	Joseph P. Marx et al.
		Examiner Name	
		Group/Art Unit	
		Attorney Docket No.	2000-0114

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																											
<p>1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number 01-2745 Deposit Account Name AT&amp;T CORP.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16    <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee(\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1840*</td><td>Requesting publication of SIR after to Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1390</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1890</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1240</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1240</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>580</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>240</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>581</td><td>40</td><td>Recording each patent assignment per property(times number of properties)</td><td></td></tr> <tr><td>146</td><td>710</td><td>Filing a submission after final rejection(37 CFR 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr> <td colspan="4">Other fee (specify)</td> </tr> <tr> <td colspan="4">Other fee (specify)</td> </tr> <tr> <td colspan="4"> <p>* Reduced by Basic Filing Fee Paid</p> <p>SUBTOTAL (2) \$520.00</p> <p>SUBTOTAL(3)</p> </td> </tr> </tbody> </table>				Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid	105	130	Surcharge - late filing fee or oath		127	50	Surcharge - late provisional filing fee or cover sheet		139	130	Non-English specification		147	2520	For filing a request for reexamination		112	920*	Requesting publication of SIR prior to Examiner action		113	1840*	Requesting publication of SIR after to Examiner action		115	110	Extension for reply within first month		116	390	Extension for reply within second month		117	890	Extension for reply within third month		118	1390	Extension for reply within fourth month		128	1890	Extension for reply within fifth month		119	310	Notice of Appeal		120	310	Filing a brief in support of an appeal		121	270	Request for oral hearing		138	1510	Petition to institute a public use proceeding		140	110	Petition to revive - unavoidable		141	1240	Petition to revive - unintentional		142	1240	Utility issue fee (or reissue)		143	440	Design issue fee		144	580	Plant issue fee		122	130	Petitions to the Commissioner		123	50	Petitions related to provisional applications		126	240	Submission of Information Disclosure Statement		581	40	Recording each patent assignment per property(times number of properties)		146	710	Filing a submission after final rejection(37 CFR 1.129(a))		149	710	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify)				Other fee (specify)				<p>* Reduced by Basic Filing Fee Paid</p> <p>SUBTOTAL (2) \$520.00</p> <p>SUBTOTAL(3)</p>			
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Typed or Printed Name	Thomas M. Isaacson			Reg. Number	44166																																																																																																																										
Signature				Date	07/06/2001	Deposit Account User ID																																																																																																																									

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	Joseph P. Marx et al.
	Title	Method And Apparatus For Providing Personal Audio Alert Messaging For Audio Alerting Capable Terminals
	Atty Docket Number	2000-0114

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

July 6, 2001

Date

  
\_\_\_\_\_  
Signature

Thomas M. Isaacson, Attorney  
Reg. No. 44166

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**